

CALIFORNIA SEGREGATED  
ANNUAL STATEMENT INSTRUCTIONS

(FAD-601/11/23)

For completing Grants and Annuities Societies - Annual Statement of Segregated Annuity Fund  
(California Insurance Code Sections (“CICS”) [11520 through 11524](#))

**GENERAL INSTRUCTIONS**

1. **Filing Requirements:**

- a. ALL Societies licensed in California are required to file the Annual Statement of Segregated Annuity Fund with this Department in accordance with [CICS 11521.3\(c\)](#), even if there is no outstanding annuity reserve in California.
- b. A completed Annual Statement of Segregated Annuity Fund prepared in MS-Excel and PDF format with signature and notarization (if needed) must be submitted online through the Department’s website via [Online Assistance System for Insurance Submittals \(OASIS\)](#). A copy of the Segregated Trust Account's Investment Summary must be included as part of the Annual Statement PDF file.

**NOTE: Please DO NOT password protect documents submitted through OASIS.**

2. **Reporting Period:**

Corresponds to the Society’s operating period (fiscal or calendar year basis). Change in reporting period (to or from calendar/fiscal year) is subject to prior approval from this Department.

3. **Filing Date:**

Must be filed within one hundred twenty (120) days after the end of the Society’s reporting period.

4. **Filing Format:**

Must submit signed PDF and Excel formats via OASIS.

5. **Questions on filing:** e-mail: [Financial.Records@insurance.ca.gov](mailto:Financial.Records@insurance.ca.gov)

**JURAT PAGE**

Commenced issuance of annuities:

Date when the Society first issued annuity agreements in the United States.

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CA Company Code:

This field corresponds to the G&A identification number assigned by the California Department of Insurance to all licensed Societies.

Administrative Office:

Location of the Society's main administrative office.

Mailing Address:

Address where the Society receives mail, if other than the administrative office address; may be a P.O. Box number with the associated zip code.

Annual Statement Contact Name, Title, and Phone Number:

Phone number should include area code and extension, where applicable.

Officers, Directors or Trustees:

Show full names (initials not acceptable) and indicate a number sign (#) following the names of those officers and directors who did not occupy the indicated position in the prior AS.

**BALANCE SHEET**

Assets must be physically and legally segregated from other assets of the Society pursuant to Section 11521.1(a) of the California Insurance Code:

*"The funds and other property, together with interest and dividends thereon and proceeds therefrom, conditioned upon issuance of the certificate holder's contracts to pay annuities, shall be maintained under a separate trust agreement for reserves held for the benefit of California annuitants and shall be held legally and physically segregated from the other assets of the certificate holder. The amendments to this subdivision enacted during the 1993 portion of the 1993-94 Regular Session shall apply to any organization that is issued a new certificate of authority on or after January 1, 1994. Any grants and annuities society that holds a certificate of authority on January 1, 1994, and that is not in compliance with this subdivision as of that date, shall comply with these amendments by January 1, 1998."*

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All investments supporting the reserve should be governed by CICS 11521.2:

- “ (a) The reserve required by the table of commensurate values for each annuity contract issued must be invested in investments specified in Sections 1170 through 1182 except that a certificate holder may invest in investment companies registered under the federal Investment Company Act of 1940, and in securities, including interests in those investment companies, listed and traded on the New York Stock Exchange, the American Stock Exchange or regional stock exchanges or the National Market System of the Nasdaq Stock Market or successors to such exchanges or market having the same qualifications, to the extent of the lesser of net worth (assets over liabilities and reserves) of the certificate holder or 50 percent of these general investments. This section does not permit investment in options or commodity exchanges.*
- (b) The certificate holder may invest in other investments as permitted by and subject to the written consent of the commissioner.”*

Line 1 - Bonds

Should agree with the total of Schedule A, Column 8, Line 49999.

Line 2.1 – Common Stocks

Should agree with Schedule B, Section 1, Column 6, Line 51999.

Line 2.2 – Preferred Stocks

Should agree with Schedule B, Section 2, Column 6, Line 52999.

Line 3 - Cash

Line 3.1 should agree with the total of Schedule C, Column 5, Line 61999.

Line 3.2 should agree with the total of Schedule C, Column 5, Line 62999.

Line 4 - All other assets

Any asset which cannot be readily classified under lines 1, 2 or 3, should be grouped and reported with an identifying title in lines 4.1, 4.2 or 4.3 accordingly.

For example: All real estate investments can be reported on Line 4.1 and all mortgage loans can be reported on Line 4.2.

Details of the investments are reported in Schedule D.

Line 4.1 should agree with the subtotal of Schedule D, Column 5, Line 64999.

Line 4.2 should agree with the subtotal of Schedule D, Column 5, Line 65999.

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Line 4.3 should agree with the subtotal of Schedule D, Column 5, Line 66999.

Line 6 - Annuity payments due and unpaid

Payments of annuity benefits due and unpaid at the end of the year.

Line 7 - Borrowed money

Report the unpaid balance outstanding at the end of the year on any borrowed money plus accrued interest.

Line 8 - Other liabilities due and accrued

Other amount due and accrued which cannot be classified under lines 6 or 7 should be reported with an identifying title in lines 8.1 and 8.2.

Line 10 - Reserve for Annuity Agreements

Should agree with Schedule F, Section 2, Column 13, Line 82999.

Line 11 - Excess fund balance [surplus]

This amount CANNOT be negative. If a deficit is reported, a corrective action plan to address the deficit and documentation of the resolution must be submitted with the Annual Statement.

**Note:** A copy of the Segregated Trust Account's Investment Summary (listing all assets in the California segregated trust account) must be submitted with the Annual Statement.

**INCOME AND EXPENSE STATEMENT**

Line 1 - Considerations received for annuity agreements

Report gross amount of the considerations received from annuitants for all annuity agreements issued during the year. **DO NOT deduct reinsurance premium paid during the year.**

Should agree with the total of Schedule F, Section 1, Column 7, Line 81999.

Line 2 - Investment income received

Report investment income received from all forms of investment, including investment fee earned less accrued interest/dividends paid and investment expense paid.

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Line 2.1 - Bonds

Should agree with the total of Schedule A, Column 9, Line 49999, plus Schedule H, Column 9, Line 101999, less Schedule G, Column 7, Line 91999.

Line 2.2 – Common Stocks

Should agree with the total of Schedule B, Column 7, Line 51999, plus Schedule H, Column 9, Line 102999, less Schedule G, Column 7, Line 92999.

Line 2.3 – Preferred Stocks

Should agree with the total of Schedule B, Column 7, Line 52999, plus Schedule H, Column 9, Line 103999, less Schedule G, Column 7, Line 93999.

Line 2.4 - Cash

Should agree with the total of Schedule C, Column 4, Line 63999.

Line 2.5 – Other Assets

Should agree with the total of Schedule D, Column 6, Line 69999, plus Schedule H, Column 9, Line 104999, less Schedule G, Column 7, Line 94999.

Line 3 - Transfers into Annuity Fund

Include amount(s) transferred into the Annuity Fund during the year which may or may not directly relate to the annuity agreements issued by the Society.

For example: Contributions made from the Society, or funds transferred from the General or Segregated Account for payments of annuity benefits.

Line 4 – Income/ (losses) from other sources

Line 4.1 - Realized capital gains/ (losses)

Report the excess of considerations received over the cost for an asset matured, sold or disposed of during the reporting year.

Should agree with Schedule H, Column 8, Line 109999

Line 4.2 - Unrealized capital gains/ (losses)

Report the changes in market value of the invested assets during the year.

Should agree with Schedule I, Column 5, Line 119999

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On Lines 4.3 and 4.4, report and label separately any other income not listed above.

Line 6 - Annuity payments

Total actual annuity benefits paid during the year to California annuitants according to the terms of the annuity agreements.

Line 7 - Transfers out of Annuity Fund

Line 7.1 - Amount Withheld From Current Gift

Total of the amount withheld from the Annuity Fund in connection with annuity agreements issued during the reporting year. This should agree with the total of Schedule F, Section 1, Column 8, Line 81999.

Line 7.2 - Withdrawals upon Termination of Annuity Agreements

Total of the amount withdrawn upon death of the annuitant(s) or other termination of annuity agreement. Any withdrawals of this type must not impinge on the minimum reserve required under CICS 11521.

Schedule J, Section 1 must be completed and Certification at the bottom page must be signed

Line 7.3 - Other Withdrawals

All withdrawals from the Annuity Fund in connection with annuity agreements issued in the prior years should be guided by the CICS 11521.1 (b):

*"Nothing in subdivision (a) shall prevent the certificate holder from withdrawing from time to time, pursuant to an appropriate resolution of its board of trustees, that amount or amounts as are determined, in a manner which is satisfactory to the commissioner, to be excess over and above its reserve required to be maintained under the provisions of Section 11521."*

Schedule J, Section 2 must be completed and a Board Resolution must be provided. Certification at the bottom page must be signed.

Line 8.1 - Reinsurance Premium Paid

Report total premium paid on reinsurance contracts entered into during the reporting period. This amount should equal Schedule E, Section 1, Column 6.

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On Lines 8.2 and 8.3, report and label separately any other expenses incurred

**Note:** DO NOT include any fee, compensation or expense payments to Trustee/custodian if such payment is prohibited per terms of the trust/custodian agreement for the California Segregated Annuity Fund.

**SCHEDULE A - BONDS**

Bonds are obligations issued by business units, governmental units, certain nonprofit units and have a fixed schedule for one or more future payments of principal and interest. If no entries are to be made, write "None" or "Not Applicable" across the Schedule.

**Includes:** Commercial paper, negotiable certificates of deposit, repurchase agreements and collateralized mortgage obligations (CMOs).

**Excludes:** Bond mutual funds. Bond mutual funds should be included in Schedule B, Section 1.

Column 2 – CUSIP #

Committee on Uniform Securities Identification Procedures (CUSIP)  
These "CUSIP" numbers and symbols are used when recording all buy or sell security transactions. CUSIP numbers are available from the broker's confirmation or the certificate.

Column 3 - Description

Give a complete and accurate description of all bonds owned. Include the location of company if bond is not publicly traded.

Column 4 - Interest Rate

Show rate of interest as stated on the face of the bond.

Column 6 - Par Value

Par value is equivalent to the face value of the bond adjusted for repayment of principal, if any.

Column 7 - Cost

Report the cost at: (1) original cost of acquiring the bond, including broker's commission and incidental expenses or (2) fair market value of the bond when the gift was placed in the segregated annuity fund.

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Column 8 - Statement Value (Market Value)

Statement value should be the market value of the bond.

Column 9 - Interest Received

Enter amount of interest income received in cash.

Line 49999 should equal the sum of Lines 4101 through 4145 (including 41999, if applicable).

**SCHEDULE B - STOCKS**

Shares of capital stock represent units of ownership in a corporation. If no entries are to be made, write "None" or "Not Applicable" across the Schedule.

**Includes:** Common and preferred stocks, all mutual fund shares including **money market** mutual fund shares, transferable savings and loan association shares, warrants and options to purchase stock.

Column 2 – CUSIP #

Committee on Uniform Securities Identification Procedures (CUSIP) - These "CUSIP" numbers and symbols are used when recording all buy or sell security transactions. CUSIP numbers are available from the broker's confirmation or the certificate, or the stock trading symbols for publicly traded securities.

Column 3 - Description

Give a complete and accurate description of all stocks owned. Include the location of company if stock is not publicly traded.

Column 5 - Cost

Report the cost at: (1) original cost of acquiring the stock, including broker's commission and incidental expenses or (2) fair market value of the stock when the gift was placed in the segregated annuity fund.

Column 6 - Statement Value (Market Value)

Statement value should be the market value of the stock.

Column 7 - Dividends Received

Enter amount of Dividend income received in cash or reinvested in additional shares.



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Line 51999 should equal the sum of Lines 5101 through 5140 (including 51999.1 and 51999.2, if applicable) for common stocks.

Line 52999 should equal the sum of Lines 5211 through 5235 (including 52999.1 and 52999.2, if applicable) for preferred stocks.

Line 59999 should equal the sum of Lines 51999 through 52999.

**SCHEDULE C - CASH**

This Schedule shows all banks, trust companies, savings and loan associations in which deposits were maintained by the Society at any time during the year. In addition, any cash in the Society's office should also be reported in this Schedule. If no entries are to be made, write "None" or "Not Applicable" across the Schedule.

**NOTE:** Money market deposit account (FDIC insured) can be reported in this Schedule. Money market mutual funds (brokerage account) should be reported in **Schedule B**.

Column 2 - Depository

Show full name and location of the depository. State if the depository is affiliated with the Society.

Column 3 - Interest Rate

Report the rate of interest in effect as of the reporting year-end.

Column 5 - Balance

Ending balance in the depository's account and at company's office (Line 62999).

Line 61999 should equal the sum of Lines 6101 through 6115.

Line 63999 should equal the sum of Lines 61999 and 62999.

**SCHEDULE D - ALL OTHER ASSETS**

This Schedule includes those investments that are not reportable in any of the Schedules A, B or C. If no entries are to be made, write "None" or "Not Applicable" across the Schedule.

Includes: Real estate and mortgage loans.

Column 5 - Statement Value (Market Value)

Statement value should be the market value of the asset.

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Column 7 - Amount of encumbrances at the end of reporting period

If there is a loan taken out on the asset, report the amount of principal outstanding on the loan. For a loan subject to a participation agreement, include only the Society's pro-rata share of loan principal.

Line 64999 should equal the sum of Lines 6401 through 6415.

Line 65999 should equal the sum of Lines 6501 through 6510.

Line 66999 should equal the sum of Lines 6601 through 6610.

Line 69999 should equal the sum of Lines 64999, 65999 and 66999.

**SCHEDULE E – REINSURANCE**

Showing all annuity agreements reinsured

- If the Society enters into a reinsurance agreement with an insurance company, it must do so with an admitted insurer (CICS 11523.5). A copy of the reinsurance agreement must be submitted to the Department.
- If the Society does not have any reinsurance agreements in effect, it should write either “None” or “Not Applicable” across the Schedule.

**SCHEDULE F - SUMMARY OF IN FORCE ANNUITY AGREEMENTS**

If no entries are to be made, write “None” or “Not Applicable” across the Schedule.

Section 1 - Annuity Agreements issued **during the current year**

Include in this section only the transactions that relate to the annuity agreements issued to California residents by the Society during the reporting year.

Two entries should be reported for all joint life annuity agreements issued but should only be counted as one agreement issued in Column 9.

Column 2 - Annuitant Name and/or Agreement Number

Identify name of the individual who receives the annuity benefit and/or the annuity agreement number.

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Column 3 - Age

Age of the annuitant at the nearest birthday at the time the annuity agreement was issued.

Column 4 – Sex

Sex of the annuitant - Indicate "M" for male and "F" for female.

Column 5 - Date of Issue

The effective date of the annuity agreement issued to the annuitant.

Column 6 - Type of Annuity Agreement

Identify the type of annuity agreement such as:

- Single Life Immediate Annuity
- Joint Life Immediate Annuity
- Single Life Deferred Annuity
- Joint Life Deferred Annuity

The above listing is not all inclusive.

Column 7 - Value of Property Transferred

Report the gross amount of the property value contributed to the Society.

Line 81999 should agree with Page 3, Line 1.

Column 8 - Amount Withheld

Identify the value of property contributed which was withheld from (not deposited into) the segregated annuity fund in connection with each of the individual annuity agreement issued during the current year.

**Amount Withheld CANNOT be greater than the difference between Value of Property Transferred (Column 7) and Initial Reserve (Column 13).**

Line 81999 should agree with Page 3, Line 7.1.

Column 9 - Number of Agreements

Count of each annuity agreement issued during the year.

**NOTE: Each joint annuity agreement will be counted as one even though there are two entries to reflect both annuitants' information for a joint life annuity agreement.**

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Column 10 - Annual Amount Payable

Amount of annuity benefits payable to the annuitant on an annual basis.

Column 11 - Payment Interval

Indicate whether the annuity benefit is payable on an annual, semiannual, quarterly or monthly basis.

Column 12 - Table and Rate of Interest Used

**Refer to [Bulletin 2004-2](#) for details.**

Identify the annuity mortality table and the interest rate used to calculate the reserve in Column 13.

Column 13 - Initial Reserve

Initial reserve established on the issuance date of the annuity agreement.

Reserve should be calculated in accordance with [CICS 11521](#):

*"Upon granting to such organization or person a certificate of authority to receive such transfers, the commissioner shall require it to establish and maintain a reserve fund adequate to meet the future payments under its outstanding annuity contracts and in any event not less than an amount computed as follows:*

- a) In the case of annuities payable under agreements made prior to January 1, 1950, in accordance with the standard of valuation based upon McClintock's table of mortality among annuitants, with interest assumption at 3½ percent per annum.*
- b) In the case of annuities payable under agreements made on and after January 1, 1950, in accordance with the standard of valuation based upon the 1937 Standard Annuity Table, with interest assumption at 2½ percent per annum, or other table of mortality derived from recent annuity experience, with interest assumption not higher than is currently yielded on safe securities, as may be prescribed by the commissioner.*

*For any failure on its part to establish and maintain such reserve fund, the commissioner shall revoke its certificate of authority."*

In the case of annuities payable under agreements made on or after January 1, 1992, the Commissioner has prescribed the a-1983 Table basis for mortality with interest assumption at 6 percent per annum in Department Bulletin No. 91-6.

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Line 81999 should equal the sum of Lines 8101 through 8135 (including 81999.1, if applicable).

**NOTE:** Proof of payment of the filing fees for annuity agreements listed in Section 1 must be submitted.

Section 2 - Annuity Agreements outstanding at the end of the year

Include in this section all transactions that relate to annuity agreements issued to California residents by the Society and outstanding at the end of the year.

The information to be reported in Columns 9, 10, 12 and 13 **should be summarized based on each of the mortality tables** utilized by the Society to establish the Reserve in accordance with CICS 11521.

Line 82999 should equal the sum of Lines 8201 through 8235 (including 82999.2, if applicable).

**SCHEDULE J – WITHDRAWAL OF FUNDS (Section 2 – Other Withdrawals)**

Pursuant to CICS 11521.1(b), must attach the Board Resolution.

**Reserve Basis and Reasonably Commensurable Value (RCV) of Annuities**

**Refer to Bulletin 2004-2 for changes effective January 1, 2005**

**NOTES TO FINANCIAL STATEMENTS**

Please attach an additional page (as necessary) to the Annual Statement to provide explanation to the following, when noted:

**1) Deficit**

The assets in segregated account should at all times be sufficient to support the California reserve pursuant to CICS 11521. In the event that a deficit is reported during the period, the Society is required to provide a detailed action plan to address this issue, and documentation to substantiate the resolution of the deficit.

**2) Annuity Payments**

Generally, the amount of annuity payments (Page 3, Line 6) that the Society makes during the year should be equal to the annual amount payable (Page 7, Column 10) reported (without new annuity contracts issued, terminated annuity contracts, and deferred annuity contracts during the period). If there is a significant discrepancy between the annuity payments and annual amount payable, or if the Society reports “0” on Page 3, Line 6, an explanation should be provided and a copy of the Gift Annuity Reserve Report must be submitted (See sample below).

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## Gift Annuity Reserves as of 09/30/2018

Gift Date	Gift Key	Gift Amount	Payout %	Account #1	Reserve State	Annuity	Mort Table	Reserve %	Factor	Reserve
<b>Sample - Your Society/Foundation Name - G1234</b>										
05/01/2012	1368	\$15,000.00	11.62560000	C7354	CA	\$1,743.84	Ann 2000	3.75000000	5.27117982	\$9,192.09
Quarterly 1st payment 05/20/2043 - in Deferral										
<u>Annuitant</u> James Doe				<u>State</u> CA	<u>D.O.B.</u> 01/26/1978	<u>Age</u> 41	<u>Gender</u> M	<u>D.O.D.</u>	<u>Person Key</u> 578	
04/02/2010	1347	\$10,000.00	8.10000000	C7335	CA	\$810.00	Ann 2000	5.25000000	4.31041799	\$3,491.44
Quarterly 1st payment 06/20/2010										
<u>Annuitant</u> Elizabeth Tester				<u>State</u> CA	<u>D.O.B.</u> 07/14/1925	<u>Age</u> 93	<u>Gender</u> F	<u>D.O.D.</u>	<u>Person Key</u> 566	

If payments were made from an account other than the Segregated Account (i.e. General Account), provide an explanation and disclose the total amount paid to donors.

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**CHECKLIST**

- \_\_\_\_\_ Electronic filing – Prepared in MS-Excel and signed PDF formats, and submitted via OASIS
- \_\_\_\_\_ Jurat Page
- \_\_\_\_\_ Balance Sheet
- \_\_\_\_\_ Segregated Trust Account’s Investment Summary must be submitted as part of PDF file.
- \_\_\_\_\_ Income and Expense Statement
- \_\_\_\_\_ Schedule A – Bonds
- \_\_\_\_\_ Schedule B – Stocks
- \_\_\_\_\_ Schedule C – CASH and Schedule D – Other Assets
- \_\_\_\_\_ Schedule E – Reinsurance
- \_\_\_\_\_ Schedule F – Summary of In Force Annuity Agreements
- \_\_\_\_\_ Schedule G – Bonds, Stocks, and other Assets ACQUIRED during the current year
- \_\_\_\_\_ Schedule H – Bonds, Stocks, and other Assets DISPOSED during the current year
- \_\_\_\_\_ Schedule I – Unrealized Capital Gains and Losses
- \_\_\_\_\_ Schedule J – Withdrawal of Funds
- \_\_\_\_\_ Board Resolution
- \_\_\_\_\_ GENERAL INTERROGATORIES
- \_\_\_\_\_ NOTES TO FINANCIAL STATEMENTS, as necessary

ANNUAL STATEMENT OF SEGREGATED ANNUITY FUND

For The Year Ended \_\_\_\_\_

Name of Grants and Annuities Society: \_\_\_\_\_

CA Company  
Code: \_\_\_\_\_

Organized under the laws of the State of: \_\_\_\_\_

(Name of State)

(Date)

Commenced issuance of annuities: \_\_\_\_\_

Date Licensed in California: \_\_\_\_\_

Administrative Office: \_\_\_\_\_

(Number and Street)

(City)

(State)

(Zip Code)

Mailing Address: \_\_\_\_\_

(Number and Street)

(City)

(State)

(Zip Code)

Primary Location of  
Books and Records: \_\_\_\_\_

(Number and Street)

(City)

(State)

(Zip Code)

Annual Statement Contact: \_\_\_\_\_

(Name)

(Title)

(Telephone Number)

(E-Mail Address)

OFFICERS

President: \_\_\_\_\_

Vice President: \_\_\_\_\_

Treasurer: \_\_\_\_\_

Secretary: \_\_\_\_\_

DIRECTORS OR TRUSTEES

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

Certification (or Declaration)

\_\_\_\_\_

Name of Officer

\_\_\_\_\_

Title

Declares under penalty of perjury: That declarant is the above described officer of the said reporting entity, designated by the title appearing after his/her name; that for the year ended, the following Annual Statement of the reporting entity made to the Insurance Commissioner of the State of California, all described assets were legally and physically segregated from other assets of the reporting entity, as required by the California Insurance Code Section 11521.1(a), and that the foregoing statement, with schedules, answers, supplements, declarations, and explanations, if any, therein contained, annexed or referred to, is a full and correct exhibit of all of the assets, liabilities, income and expense, and of the condition and affairs of the said reporting entity's segregated gift annuity fund on the said year ended, according to the best of his/her information, knowledge and belief.

Executed in \_\_\_\_\_

City and State

\_\_\_\_\_

Signature

\_\_\_\_\_

Date

NOTE: IF THIS FORM IS EXECUTED OUTSIDE THE STATE OF CALIFORNIA, ATTACH A NOTARIZATION.

MUST BE FILED WITHIN ONE HUNDRED TWENTY (120) DAYS AFTER THE END OF SOCIETY'S REPORTING PERIOD



Annual Statement of Segregated Annuity Fund of

Balance Sheet as of

		Current Year	Prior Year
ASSETS			
1.	Bonds (Schedule A, Column 8)	\$	\$
2.	Stocks (Schedule B)		
2.1	Common Stock (Sch B, Sec 1, Column 6)		
2.2	Preferred Stock (Sch B, Sec 2, Column 6)		
3.	Cash		
3.1	Bank deposits (Line 61999)		0
3.2	Cash in office (Line 62999)		0
4.	All other assets (Schedule D, Column 5)		
4.1			0
4.2			0
4.3			
5.	Total Assets (sum of lines 1 to 4)	\$ 0	\$ 0

NOTE: Copy of Segregated Trust Account's Investment Summary must be submitted.

LIABILITIES AND ANNUITY FUND BALANCE

6.	Annuity payments due and unpaid	\$	\$
7.	Borrowed money		
8.	Other liabilities due and accrued		
8.1			
8.2			
9.	Total Liabilities (sum of lines 6 to 8)	\$ 0	\$ 0
10.	Reserve for Annuity Agreements (Schedule F, Section 2, Column 13, Ln 82999)	\$	\$
11.	Excess fund balance [surplus] (Line 5 minus lines 9 and 10)	0	0
12.	Total Annuity Fund Balance (sum of lines 10 and 11, should equal Page 3, Line 12)	0	0
13.	Total Liabilities and Annuity Fund Balance (sum of lines 9 and 12; should equal Line 5)	\$ 0	\$ 0

Annual Statement of Segregated Annuity Fund of  
Income and Expense Statement For The Year Ended

	Current Year	Prior Year
<b>INCOME</b>		
1. Considerations received for annuity agreements (Schedule F, Section 1, Col. 7)	\$	\$
2. Investment income Received		
2.1 Bonds		
2.2 Common Stocks		
2.3 Preferred Stocks		
2.4 Cash (Schedule C, Column 4)		
2.5 Other Assets		
3. Transfers into Annuity Fund		
4. Income/(losses) from other sources		
4.1 Realized capital gains/(losses) (Schedule H, Column 8)		
4.2 Unrealized capital gains/(losses) (Schedule I, Column 5)		
4.3		
4.4		
5. Total Income (sum of lines 1 to 4)	\$ 0	\$ 0
<b>EXPENSE</b>		
6. Annuity payments	\$	\$
7. Transfers out of Annuity Fund		
7.1 Amount withheld from current gift (Schedule F, Section 1, Column 8)		
7.2 Withdrawals upon termination of annuity agreements (Schedule J, Section 1)		
7.3 Other Withdrawals (Schedule J, Section 2)		
8. Other expenses		
8.1 Reinsurance Premium Paid (Schedule E, Section 1, Column 6)		
8.2		
8.3		
9. Total Expense (sum of lines 6 to 8)	\$ 0	\$ 0
10. Net change in Annuity Fund (line 5 minus line 9)	0	0
11. Previous year Annuity Fund Balance		
12. Annuity Fund Balance at end of year (sum of lines 10 and 11)	\$ 0	\$ 0

Schedule A - Bonds

Showing all BONDS owned at the end of current year

1	2	3	4	5		6	7	8		10
Line #	CUSIP #	Description	Interest Rate	Date of Maturity		'Par Value	Cost	Statement Value (Market Value)	Interest Received	Year Acquired
				Mo	Yr					
Bonds										
4101										
4102										
4103										
4104										
4105										
4106										
4107										
4108										
4109										
4110										
4111										
4112										
4113										
4114										
4115										
4116										
4117										
4118										
4119										
4120										
4121										
4122										
4123										
4124										
4125										
4126										
4127										
4128										
4129										
4130										
4131										
4132										
4133										
4134										
4135										
4136										
4137										
4138										
4139										
4140										
4141										
4142										
4143										
4144										
4145										
41999	xxxxxx	Subtotal - Bonds - Cont. Pag	xxxx	xxxx	xxxx	0	0	0	0	xxxxxxx
49999	xxxxxx	Total - Bonds	xxxx	xxxx	xxxx	0	0	0	0	xxxxxxx

[Click here for Additional Bonds](#)

If space provided is not sufficient, attach additional pages and label the schedule name accordingly.

Schedule B - Stocks

Showing all Common and Preferred STOCKS owned at the end of current year

1	2	3	4	5	6	7	8
Line #	CUSIP #	Description	Number of Shares	Cost	Statement Value '(Market Value)	Dividend Received	Year Acquired
Section 1 - Common Stocks							
5101							
5102							
5103							
5104							
5105							
5106							
5107							
5108							
5109							
5110							
5111							
5112							
5113							
5114							
5115							
5116							
5117							
5118							
5119							
5120							
5121							
5122							
5123							
5124							
5125							
5126							
5127							
5128							
5129							
5130							
5131							
5132							
5133							
5134							
5135							
5136							
5137							
5138							
5139							
5140							
51999.1	xxxxxxx	Subtotal - Common Stocks - Cont. Page	xxxxxxx	0	0	0	xxxxxxx
51999.2	xxxxxxx	Subtotal - Common Stocks - Cont. Page - 2	xxxxxxx	0	0	0	xxxxxxx
51999	xxxxxxx	Total - Common Stocks	xxxxxxx	0	0	0	xxxxxxx
<div><a href="#">Click here for more Common Stocks</a><a href="#">Click here for Common Stocks Page 2</a></div>							
Section 2 - Preferred Stocks							
5211							
5212							
5213							
5214							
5215							
5216							
5217							
5218							
5219							
5220							
5221							
5222							
5223							
5224							
5225							
5226							
5227							
5228							
5229							
5230							
5231							
5232							
5233							
5234							
5235							
52999.1	xxxxxxx	Subtotal - Preferred Stocks - Cont. Page	xxxxxxx	0	0	0	xxxxxxx
52999.2	xxxxxxx	Subtotal - Preferred Stocks - Cont. Page - 2	xxxxxxx	0	0	0	xxxxxxx
52999	xxxxxxx	Total - Preferred Stocks	xxxxxxx	0	0	0	xxxxxxx
<div><a href="#">Click here for more Preferred Stocks</a><a href="#">Click here for Preferred Stocks Page 2</a></div>							
59999	xxxxxxx	Total - Stocks (All)	xxxxxxx	0	0	0	xxxxxxx

If space provided is not sufficient, attach additional pages and label the schedule name accordingly.

Schedule C - CASH

1	2	3	4	5
Line #	Depository	Interest Rate	Interest Received	Balance
Cash				
6101				
6102				
6103				
6104				
6105				
6106				
6107				
6108				
6109				
6110				
6111				
6112				
6113				
6114				
6115				
61999	Total Cash in Depository	xxxxxxx	0	0
62999	Cash in Company's Office	xxxxxxx		
63999	Total - Cash	xxxxxxx	0	0

Schedule D - ALL OTHER ASSETS

1	2	3	4	5	6	7
Line #	Location and Description of Property	Date Acquired	Cost	Statement Value (Markcet Value)	Income Received	Amount of Encumbrances
All Other Assets						
6401						
6402						
6403						
6404						
6405						
6406						
6407						
6408						
6409						
6410						
6411						
6412						
6413						
6414						
6415						
64999	Subtotal (Page 2 - Line 4.1)	xxxxxxx	0	0	0	0
6501						
6502						
6503						
6504						
6505						
6506						
6507						
6508						
6509						
6510						
65999	Subtotal (Page 2 - Line 4.2)	xxxxxxx	0	0	0	0
6601						
6602						
6603						
6604						
6605						
6606						
6607						
6608						
6609						
6610						
66999	Subtotal (Page 2, Line 4.3)	xxxxxxx	0	0	0	0
69999	Total - All Other Assets	xxxxxxx	0	0	0	0

If space provided is not sufficient, attach additional pages and label the schedule name accordingly.

Schedule E - Reinsurance

Showing all annuity agreements reinsured

1	2	3	4	5	6	7	8
Line #	Annuitant Name and/or Agreement Number	Date Annuity Agreement Issued	Name of Reinsurer	Date Agreement Reinsured	Reinsurance Premium Paid	Total Reserve Ceded	Copy of Reinsurance Contract Attached or Date Submitted
Section 1 - Reinsurance Agreements entered during the current year							
7101							
7102							
7103							
7104							
7105							
7106							
7107							
7108							
7109							
7110							
7111							
7112							
7113							
7114							
7115							
7116							
7117							
7118							
7119							
7120							
7121							
7122							
7123							
7124							
7125							
7126							
7127							
7128							
71999.1	SubTotal - Section 1 - Cont. Page	XXXXXXXX	XXXXXXXX	XXXXXXXX	0	0	XXXXXXXX
71999	Total - Section 1	XXXXXXXX	XXXXXXXX	XXXXXXXX	0	0	XXXXXXXX

[Click here for more Reinsurance Agreements entered during the current year](#)

Section 2 - Reinsurance Agreements outstanding at the end of the year							
7201							
7202							
7203							
7204							
7205							
7206							
7207							
7208							
7209							
7210							
7211							
7212							
7213							
7214							
7215							
7216							
7217							
7218							
7219							
7220							
7221							
7222							
7223							
7224							
7225							
7226							
7227							
72999.1	Subtotal - Section 2 - Cont. Page	XXXXXXXX	XXXXXXXX	XXXXXXXX	0	0	XXXXXXXX
72999	Total - Section 2	XXXXXXXX	XXXXXXXX	XXXXXXXX	0	0	XXXXXXXX

[Click here for more Reinsurance Agreements outstanding at the end of the year](#)

If no entries are to be made, write “None” or “Not Applicable” across the Schedule.

Column 5 - Date Agreement Reinsured. The effective date of the reinsurance agreement.

Column 6 - Reinsurance Premium Paid. Total amount paid to reinsurance company for this reinsurance agreement. Section 1, Line 71999, forward amount to Page 3, Line 8.1.

Column 7 - Total Reserve Ceded. This amount should be the total outstanding reserve under an annuity agreement issued and ceded by the Society.

Column 8 - Copy of Reinsurance Contract Attached or Date Submitted. Attach copy of reinsurance contracts. If previously submitted, provide date of submission.

Schedule F

Summary of In Force Annuity Agreements

1	2	3	4	5	6	7	8	9	10	11	12	13
	Annuitant Name and/or Agreement Number	Age	Sex	Date of Issue	Type of Annuity Agreement	Value of Property Transferred	Amount	Number of Agreements	Annual Amount Payable	Payment Interval	Table and Rate of Interest	Initial Reserve
Section 1 - Annuity Agreements issued during the current year												
8101												
8102												
8103												
8104												
8105												
8106												
8107												
8108												
8109												
8110												
8111												
8112												
8113												
8114												
8115												
8116												
8117												
8118												
8119												
8120												
8121												
8122												
8123												
8124												
8125												
8126												
8127												
8128												
8129												
8130												
8131												
8132												
8133												
8134												
8135												
81999.1	Subtotal - Section 1 - Cont. Page	xxx	xx	xxx	xxxxxxxx	0	0	0	0	xxxxxx	xxxxxx	0
81999	Total - Section 1	xxx	xx	xxx	xxxxxxxx	0	0	0	0	xxxxxx	xxxxxx	0

[Click here for more Annuity Agreements issued during the current year](#)

Column 8, Line 81999 - Forward amount to Page 3, Line 7.1

**Note: Proof of payment of the filing fees for annuity agreements issued during the current year must be submitted.**

								9	10		12	13
								Number of Agreements	Annual Amount Payable		Table and Rate of Interest Used	Current Reserve
Section 2 - Annuity Agreements outstanding at the end of the year												
8201	xxxxxxx	xxx	xxx	xxxxx	xxxxxxx	xxxxxxx	xxxxxxx			xxxxxxx		
8202	xxxxxxx	xxx	xxx	xxxxx	xxxxxxx	xxxxxxx	xxxxxxx			xxxxxxx		
8203	xxxxxxx	xxx	xxx	xxxxx	xxxxxxx	xxxxxxx	xxxxxxx			xxxxxxx		
8204	xxxxxxx	xxx	xxx	xxxxx	xxxxxxx	xxxxxxx	xxxxxxx			xxxxxxx		
8205	xxxxxxx	xxx	xxx	xxxxx	xxxxxxx	xxxxxxx	xxxxxxx			xxxxxxx		
8206	xxxxxxx	xxx	xxx	xxxxx	xxxxxxx	xxxxxxx	xxxxxxx			xxxxxxx		
8207	xxxxxxx	xxx	xxx	xxxxx	xxxxxxx	xxxxxxx	xxxxxxx			xxxxxxx		
8208	xxxxxxx	xxx	xxx	xxxxx	xxxxxxx	xxxxxxx	xxxxxxx			xxxxxxx		
8209	xxxxxxx	xxx	xxx	xxxxx	xxxxxxx	xxxxxxx	xxxxxxx			xxxxxxx		
8210	xxxxxxx	xxx	xxx	xxxxx	xxxxxxx	xxxxxxx	xxxxxxx			xxxxxxx		
8211	xxxxxxx	xxx	xxx	xxxxx	xxxxxxx	xxxxxxx	xxxxxxx			xxxxxxx		
8212	xxxxxxx	xxx	xxx	xxxxx	xxxxxxx	xxxxxxx	xxxxxxx			xxxxxxx		
8213	xxxxxxx	xxx	xxx	xxxxx	xxxxxxx	xxxxxxx	xxxxxxx			xxxxxxx		
8214	xxxxxxx	xxx	xxx	xxxxx	xxxxxxx	xxxxxxx	xxxxxxx			xxxxxxx		
8215	xxxxxxx	xxx	xxx	xxxxx	xxxxxxx	xxxxxxx	xxxxxxx			xxxxxxx		
8216	xxxxxxx	xxx	xxx	xxxxx	xxxxxxx	xxxxxxx	xxxxxxx			xxxxxxx		
8217	xxxxxxx	xxx	xxx	xxxxx	xxxxxxx	xxxxxxx	xxxxxxx			xxxxxxx		
8218	xxxxxxx	xxx	xxx	xxxxx	xxxxxxx	xxxxxxx	xxxxxxx			xxxxxxx		
8219	xxxxxxx	xxx	xxx	xxxxx	xxxxxxx	xxxxxxx	xxxxxxx			xxxxxxx		
8220	xxxxxxx	xxx	xxx	xxxxx	xxxxxxx	xxxxxxx	xxxxxxx			xxxxxxx		
8221	xxxxxxx	xxx	xxx	xxxxx	xxxxxxx	xxxxxxx	xxxxxxx			xxxxxxx		
8222	xxxxxxx	xxx	xxx	xxxxx	xxxxxxx	xxxxxxx	xxxxxxx			xxxxxxx		
8223	xxxxxxx	xxx	xxx	xxxxx	xxxxxxx	xxxxxxx	xxxxxxx			xxxxxxx		
8224	xxxxxxx	xxx	xxx	xxxxx	xxxxxxx	xxxxxxx	xxxxxxx			xxxxxxx		
8225	xxxxxxx	xxx	xxx	xxxxx	xxxxxxx	xxxxxxx	xxxxxxx			xxxxxxx		
8226	xxxxxxx	xxx	xxx	xxxxx	xxxxxxx	xxxxxxx	xxxxxxx			xxxxxxx		
8227	xxxxxxx	xxx	xxx	xxxxx	xxxxxxx	xxxxxxx	xxxxxxx			xxxxxxx		
8228	xxxxxxx	xxx	xxx	xxxxx	xxxxxxx	xxxxxxx	xxxxxxx			xxxxxxx		
8229	xxxxxxx	xxx	xxx	xxxxx	xxxxxxx	xxxxxxx	xxxxxxx			xxxxxxx		
8230	xxxxxxx	xxx	xxx	xxxxx	xxxxxxx	xxxxxxx	xxxxxxx			xxxxxxx		
8231	xxxxxxx	xxx	xxx	xxxxx	xxxxxxx	xxxxxxx	xxxxxxx			xxxxxxx		
8232	xxxxxxx	xxx	xxx	xxxxx	xxxxxxx	xxxxxxx	xxxxxxx			xxxxxxx		
8233	xxxxxxx	xxx	xxx	xxxxx	xxxxxxx	xxxxxxx	xxxxxxx			xxxxxxx		
8234	xxxxxxx	xxx	xxx	xxxxx	xxxxxxx	xxxxxxx	xxxxxxx			xxxxxxx		
8235	xxxxxxx	xxx	xxx	xxxxx	xxxxxxx	xxxxxxx	xxxxxxx			xxxxxxx		
82999.1	Subtotal - Section 2 - Cont. Page	xxx	xxx	xxxxx	xxxxxxx	xxxxxxx	xxxxxxx	0	0	xxxxxxx	xxxxxxx	0
82999	Total - Section 2	xxx	xxx	xxxxx	xxxxxxx	xxxxxxx	xxxxxxx	0	0	xxxxxxx	xxxxxxx	0

[Click Here for more Annuity Agreements outstanding at the end of the year](#)

Column 13, Line 82999 - Forward amount to Page 2, Line 10

If space provided is not sufficient, attach additional pages and label the schedule name accordingly.

Schedule G

Showing all BONDS, STOCKS and Other Assets ACQUIRED during the current year

1	2	3	4	5	6	7	8
Line #	CUSIP #	Description	Number of Shares of stocks	Actual Cost	Par Value	Accrued Interest and Dividends Paid	Date of Acquired
Bonds							
9101							
9102							
9103							
9104							
9105							
9106							
9107							
9108							
9109							
9110							
9111							
9112							
9113							
9114							
9115							
9116							
9117							
9118							
9119							
9120							
91999.1	xxxxxxx	Subtotal - Bonds - Cont. Page	xxxxxxx	0	0	0	xxxxxxx
91999.2	xxxxxxx	Subtotal - Bonds - Cont. Page - 2	xxxxxxx	0	0	0	xxxxxxx
91999	xxxxxxx	Total - Bonds	xxxxxxx	0	0	0	xxxxxxx

[Click here for more Bonds](#)

[Click here for Bonds Page 2](#)

Common Stocks							
9201							
9202							
9203							
9204							
9205							
9206							
9207							
9208							
9209							
9210							
9211							
9212							
9213							
9214							
9215							
9216							
9217							
9218							
92999.1	xxxxxxx	Subtotal - Common Stocks - Cont. Page	xxxxxxx	0	0	0	xxxxxxx
92999.2	xxxxxxx	Subtotal - Common Stocks - Cont. Page - 2	xxxxxxx	0	0	0	xxxxxxx
92999	xxxxxxx	Total - Common Stocks	xxxxxxx	0	0	0	xxxxxxx

[Click here for more Common Stocks](#)

[Click here for Common Stocks Page 2](#)

Preferred Stocks							
9301							
9302							
9303							
9304							
9305							
9306							
9307							
9308							
9309							
9310							
9311							
9312							
9313							
9314							
9315							
93999.1	xxxxxxx	Subtotal - Preferred Stocks - Cont. Page	xxxxxxx	0	0	0	xxxxxxx
93999.2	xxxxxxx	Subtotal - Preferred Stocks - Cont. Page - 2	xxxxxxx	0	0	0	xxxxxxx
93999	xxxxxxx	Total - Preferred Stocks	xxxxxxx	0	0	0	xxxxxxx

[Click here for more Preferred Stocks](#)

[Click here for Preferred Stocks Page 2](#)

Other Assets							
9401							
9402							
9403							
9404							
9405							
94999.1	xxxxxxx	Subtotal - Other Assets - Cont. Page	xxxxxxx	0	0	0	xxxxxxx
94999.2	xxxxxxx	Subtotal - Other Assets - Cont. Page - 2	xxxxxxx	0	0	0	xxxxxxx
94999	xxxxxxx	Total - Other Assets	xxxxxxx	0	0	0	xxxxxxx

[Click here for more Other Assets](#)

[Click here for Other Assets Page 2](#)

99999	xxxxxxx	Total - Bonds, Stocks and Other Assets	xxxxxxx	0	0	0	xxxxxxx
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If no entries are to be made, write “None” or “Not Applicable” across the Schedule. If space provided is not sufficient, attach additional pages and label the schedule name accordingly.

Column 5. Cost of acquiring the securities, including broker's commission and other related fees. Exclude paid interest in Column 7.

Column 7. Accrued interest and dividends paid on shares acquired between dividend declaration date and the ex-dividend date.



Schedule H

Showing all BONDS, STOCKS and Other Assets DISPOSED during the current year

1	2	3	Number of Shares of stocks	5	6	7	8	9	
Line #	CUSIP #	Description		Cost	Statement Value (Market Value)	Considerations Received	Realized Gains / (Losses)	Interest received during the year	Date of Disposal
Bonds									
10101									
10102									
10103									
10104									
10105									
10106									
10107									
10108									
10109									
10110									
10111									
10112									
10113									
10114									
10115									
10116									
10117									
10118									
10119									
10120									
10121									
101999.1	xxxxxxx	Subtotal - Bonds - Cont. Page	xxxxxxx	0	0	0	0	0	xxxxxxx
101999.2	xxxxxxx	Subtotal - Bonds - Cont. Page - 2	xxxxxxx	0	0	0	0	0	xxxxxxx
101999	xxxxxxx	Total - Bonds	xxxxxxx	0	0	0	0	0	xxxxxxx

[Click here for more Bonds](#)

[Click here for Bonds Page 2](#)

Common Stocks									
10201									
10202									
10203									
10204									
10205									
10206									
10207									
10208									
10209									
10210									
10211									
10212									
10213									
10214									
10215									
10216									
10217									
10218									
10219									
10220									
10221									
102999.1	xxxxxxx	Subtotal - Common Stocks - Cont. Page	xxxxxxx	0	0	0	0	0	xxxxxxx
102999.2	xxxxxxx	Subtotal - Common Stocks - Cont. Page - 2	xxxxxxx	0	0	0	0	0	xxxxxxx
102999	xxxxxxx	Total - Common Stocks	xxxxxxx	0	0	0	0	0	xxxxxxx

[Click here for more Common Stocks](#)

[Click here for Common Stocks Page 2](#)

Preferred Stocks									
10301									
10302									
10303									
10304									
10305									
10306									
10307									
10308									
10309									
10310									
10311									
10312									
10313									
10314									
10315									
103999.1	xxxxxxx	Subtotal - Preferred Stocks - Cont. Page	xxxxxxx	0	0	0	0	0	xxxxxxx
103999.2	xxxxxxx	Subtotal - Preferred Stocks - Cont. Page - 2	xxxxxxx	0	0	0	0	0	xxxxxxx
103999	xxxxxxx	Total - Preferred Stocks	xxxxxxx	0	0	0	0	0	xxxxxxx

[Click here for more Preferred Stocks](#)

[Click here for Preferred Stocks Page 2](#)

Other Assets									
10401									
10402									
10403									
10404									
10405									
10406									
10407									
10408									
10409									
10410									
104999.1	xxxxxxx	Subtotal - Other Assets - Cont. Page	xxxxxxx	0	0	0	0	0	xxxxxxx
104999.2	xxxxxxx	Subtotal - Other Assets - Cont. Page - 2	xxxxxxx	0	0	0	0	0	xxxxxxx
104999	xxxxxxx	Total - Other Assets	xxxxxxx	0	0	0	0	0	xxxxxxx

[Click here for more Other Assets](#)

[Click here for Other Assets Page 2](#)

109999	xxxxxxx	Total - Bonds, Stocks and Other Assets	xxxxxxx	0	0	0	0	0	xxxxxxx
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If no entries are to be made, write “None” or “Not Applicable” across the Schedule. If space provided is not sufficient, attach additional pages and label the schedule name accordingly.

Column 6 should be the statement value of the securities at the disposal date.

Column 7 - Total amount received for the disposed or matured securities, including brokers' commissions and related fees.

Column 8 should be the difference between Columns 7 and 5. Total for all 4 Sections should tie to Page 3, Line 4.1.

Column 9 should be all interest and dividends received during the year on disposed or matured securities.

Schedule I - Unrealized Capital Gains and Losses

Bonds, Stocks and All Other Assets

1	2	3	4	5
Line #		Cost	Statement Value (Market Value)	Unrealized Gains / (Losses)
1101	From Schedule A - Bonds, Total, current year			
1102	From Schedule A - Bonds, Total, Prior year			
1103	Subtotal - Unrealized Gains/(Losses), Bonds, Current Year	xxxxxxx	xxxxxxx	0
1111	From Schedule B - Stocks, Total, current year			
1112	From Schedule B - Stocks, Total, Prior year			
1113	Subtotal - Unrealized Gains/(Losses) Stocks, Current Year	xxxxxxx	xxxxxxx	0
1121	From Schedule D - All Other Assets, Total, current year			
1122	From Schedule D - All Other Assets, Total, Prior year			
1123	Subtotal - Unrealized Gains/(Losses) All Other Assets, Current Year	xxxxxxx	xxxxxxx	0
119999	Total Unrealized Gains/(Losses) - Bonds, Stocks and All Other Assets	xxxxxxx	xxxxxxx	0

If no entries are to be made, write “None” or “Not Applicable” across the Schedule.

Column 5 should be the difference between Columns 4 and 3.

Line 1103 should be the difference between Lines 1101 and 1102, Column 5

Line 1113 should be the difference between Lines 1111 and 1112, Column 5

Line 1123 should be the difference between Lines 1121 and 1122, Column 5

Line 119999 should be the sum of Lines 1103, 1113 and 1123, Column 5. This amount should tie to Page 3, Line 4.2.

**Schedule J - Withdrawal of Funds**  
**(California Insurance Code Section 11521.1(b))**

**Section 1 - Withdrawals Upon Termination of Annuity Agreements**

Listing of annuity agreements terminated during the year:

<b>Name of Annuitant</b>	<b>Date of Termination</b>	<b>Amount of Withdrawal</b>
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
<a href="#">Click here for more Annuitant</a>		
<b>Total - Forward to Page 3, Line 7.2</b>		<b>\$ <u><u>0</u></u></b>

**Section 2 - Other Withdrawals**

# Amount of Withdrawal      \$                      (Forward to Page 3, Line 7.3)

For other withdrawals subject to CICS 11521.1(b), please attached a copy of the Board Resolution.

#

#

#

#

#

**Certification:** The above information is true and complete to the best of my knowledge and belief.

#

\_\_\_\_\_  
Name of Officer and Title

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
If no entries are to be made, write “None” or “Not Applicable” across the Schedule.

## GENERAL INTERROGATORIES

- |   |   |  |  |     |
|---|---|--|--|-----|
| 1. Purpose of the Society:  | <input type="checkbox"/> Charitable<br><input type="checkbox"/> Religious | <input type="checkbox"/> Benevolent<br><input type="checkbox"/> Hospital | <input type="checkbox"/> Educational<br><input type="checkbox"/> Home for Aged |     |
| 2. Total assets of the entire Society as of the statement date.   | \$ _____ *  |  |  |     |
| Total <b>NET ASSETS</b> of the entire Society as of the statement date.   | \$ _____ *  |  |  |     |
| * Unaudited financial figures are acceptable.   |   |  |  |     |
| 3. Has there been any change in name or organizational status since the date of the last statement filed with this Department?  | <input type="checkbox"/> Yes <input type="checkbox"/> No                  |  |  |     |
| If so, has there been filed with this Department a copy, certified by the Society's secretary, of the amended by-laws, constitution, or other document, by virtue of which this change was made?  | <input type="checkbox"/> Yes <input type="checkbox"/> No                  |  |  |     |
| 4. State as of what date the latest examination of the Society was made or is being made and by which Insurance Commissioner.   | _____   |  |  |     |
| In what States does Society hold a license or certificate to operate?   | _____   |  |  |     |
| 5. Does the Society maintain separate records of its annuity transactions and the liabilities arising therefrom?  | <input type="checkbox"/> Yes <input type="checkbox"/> No                  |  |  |     |
| 6. Provide the following information if California gift annuity business is managed by a third-party administrator. Indicate " <b>None</b> " if not applicable  |   |  |  |     |
| Name of Administrator:  | _____   |  |  |     |
| Address:  | _____   |  |  |     |
| Effective date of Service Agreement:  | _____   |  |  |     |
| 7. Does the Society maintain the assets received from issuance of annuity contracts and income from such assets under a trust agreement in a separate account legally and physically segregated from the other assets of the Society pursuant to Section 11521.1? | <input type="checkbox"/> Yes <input type="checkbox"/> No                  |  |  |     |
| If the answer is "No", explain.   | _____   |  |  |     |
| At what address are such assets maintained?   | _____   |  |  |     |
| Name of Trustee   |   |  |  |     |
| Street and Number   |   | City   | State  | Zip |
| Effective date of the Trust Agreement   |   |  |  |     |
| 8. Does the Society invest all of the reserve required by the table of commensurate values for each annuity contract issued to California residents in investments specified in Section 11521.2?  | <input type="checkbox"/> Yes <input type="checkbox"/> No                  |  |  |     |
| If the answer is "No", explain.   | _____   |  |  |     |
| 9. Has the Society filed a copy of its currently effective annuity rate table with this Department?   | <input type="checkbox"/> Yes <input type="checkbox"/> No                  |  |  |     |
| If the answer is "Yes", identify the rate table and its effective date.   | _____   |  |  |     |
| If the answer is "No", include a copy of the table with the Annual Statement.   | _____   |  |  |     |
| 10. Section 790.03 (f) of the California Insurance Code refers to antidiscrimination in rates charged for annuity contracts. Has the Society consistently used rates based on its currently filed annuity rate table for issuance of annuity contracts?           | <input type="checkbox"/> Yes <input type="checkbox"/> No                  |  |  |     |

Schedule A - Bonds - Cont.

Showing all BONDS owned at the end of current year

1	2	3	4	5		6	7	8	9	10
Line #	CUSIP #	Description	Interest Rate	Date of Maturity		Par Value	Cost	Statement Value (Market Value)	Interest Received	Year Acquired
				Mo	Yr					
Bonds										
4146										
4147										
4148										
4149										
4150										
4151										
4152										
4153										
4154										
4155										
4156										
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4191										
4192										
4193										
4194										
4195										
4196										
4197										
4198										
4199										
4200										
4201										
4202										
4203										
4204										
4205										
4206										
4207										
4208										
4209										
4210										
4211										
4212										
4213										
4214										
4215										
4216										
4217										
4218										
4219										
4220										
41999.1	xxxxxx	Subtotal - Bonds - Cont.	xxxx	xxxx	xxxx	0	0	0	0	xxxxxxx

[Return to Schedule-A \(Page - 4\)](#)

If space provided is not sufficient, attach additional pages and label the schedule name accordingly.

Schedule B - Stocks Cont.

Showing all Common and Preferred STOCKS owned at the end of current year

1	2	3	4	5	6	7	8
Line #	CUSIP #	Description	Number of Shares	Cost	Statement Value (Market Value)	Dividend Received	Year Acquired
Common Stocks							
5141							
5142							
5143							
5144							
5145							
5146							
5147							
5148							
5149							
5150							
5151							
5152							
5153							
5154							
5155							
5156							
5157							
5158							
5159							
5160							
5161							
5162							
5163							
5164							
5165							
5166							
5167							
5168							
5169							
5170							
5171							
5172							
5173							
5174							
5175							
5176							
5177							
5178							
5179							
5180							
5181							
5182							
5183							
5184							
5185							
51999.1	xxxxxxx	Subtotal - Common Stocks - Cont. Page	xxxxxxx	0	0	0	xxxxxxx

[Return to Schedule-B \(Page - 5\)](#)

Preferred Stocks							
5236							
5237							
5238							
5239							
5240							
5241							
5242							
5243							
5244							
5245							
5246							
5247							
5248							
5249							
5250							
5251							
5252							
5253							
5254							
5255							
5256							
5257							
5258							
5259							
5260							
52999.1	xxxxxxx	Subtotal - Preferred Stocks - Cont. Page	xxxxxxx	0	0	0	xxxxxxx

[Return to Schedule-B \(Page - 5\)](#)

If space provided is not sufficient, attach additional pages and label the schedule name accordingly.

Schedule B - Stocks Cont. Page 2

Showing all Common and Preferred STOCKS owned at the end of current year

1	2	3	4	5	6	7	8
Line #	CUSIP #	Description	Number of Shares	Cost	Statement Value (Market Value)	Dividend Received	Year Acquired
Common Stocks							
5186							
5187							
5188							
5189							
5190							
5191							
5192							
5193							
5194							
5195							
5196							
5197							
5198							
5199							
5200							
5201							
5202							
5203							
5204							
5205							
5206							
5207							
5208							
5209							
5210							
5211							
5212							
5213							
5214							
5215							
5216							
5217							
5218							
5219							
5220							
5221							
5222							
5223							
5224							
5225							
5226							
5227							
5228							
5229							
5230							
51999.2	xxxxxxx	Subtotal - Common Stocks - Cont. Page - 2	xxxxxxx	0	0	0	xxxxxxx

[Return to Schedule-B \(Page - 5\)](#)

Preferred Stocks							
5261							
5262							
5263							
5264							
5265							
5266							
5267							
5268							
5269							
5270							
5271							
5272							
5273							
5274							
5275							
5276							
5277							
5278							
5279							
5280							
52999.2	xxxxxxx	Subtotal - Preferred Stocks - Cont. Page - 2	xxxxxxx	0	0	0	xxxxxxx

[Return to Schedule-B \(Page - 5\)](#)

If space provided is not sufficient, attach additional pages and label the schedule name accordingly.

Schedule E - Reinsurance Cont.

Showing all annuity agreements reinsured

1	2	3	4	5	6	7	8
	Annuitant Name and/or Agreement Number	Date Annuity Agreement Issued	Name of Reinsurer	Date Agreement Reinsured	Reinsurance Premium Paid	Total Reserve Ceded	Copy of Reinsurance Contract Attached or Date Submitted
Section 1 - Reinsurance Agreements entered during the current year							
7129							
7130							
7131							
7132							
7133							
7134							
7135							
7136							
7137							
7138							
7139							
7140							
7141							
7142							
7143							
7144							
7145							
7146							
7147							
7148							
7149							
7150							
7151							
7152							
7153							
7154							
7155							
7156							
7157							
7158							
7159							
7160							
7161							
7162							
7163							
7164							
7165							
7166							
7167							
71999.1	Subtotal - Section 1	XXXXXXXX	XXXXXXXX	XXXXXXXX	0	0	XXXXXXXX

Return to Schedule-E (Page - 7)

Section 2 - Reinsurance Agreements outstanding at the end of the year							
7228							
7229							
7230							
7231							
7232							
7233							
7234							
7235							
7236							
7237							
7238							
7239							
7240							
7241							
7242							
7243							
7244							
7245							
7246							
7247							
7248							
72999.1	Subtotal - Section 2	XXXXXXXX	XXXXXXXX	XXXXXXXX	0	0	XXXXXXXX

Return to Schedule-E (Page - 7)

If no entries are to be made, write “None” or “Not Applicable” across the Schedule.

Column 5 - Date Agreement Reinsured. The effective date of the reinsurance agreement.

Column 6 - Reinsurance Premium Paid. Total amount paid to reinsurance company for this reinsurance agreement. Section 1, Line 71999, forward amount to Page 3, Line 8.1.

Column 7 - Total Reserve Ceded. RCV reserve at year ended. This amount should be the total outstanding reserve under an annuity agreement issued and ceded by the Society.

Column 8 - Copy of Reinsurance Contract Attached or Date Submitted. Attach copy of reinsurance contracts. If previously submitted, provide date of submission.



Annual Statement of Segregated Annuity Fund of  
For The Year Ended

Schedule F Cont.  
Summary of In Force Annuity Agreements

1	2	3	4	5	6	7	8	9	10	11	12	13
	Annuitant Name and/or Agreement Number	Age	Sex	Date of Issue	Type of Annuity Agreement	Value of Property Transferred	Amount	Number of Agreements	Annual Amount Payable	Payment Interval	Table and Rate of Interest	Initial Reserve
Section 1 - Annuity Agreements issued during the current year												
8136												
8137												
8138												
8139												
8140												
8141												
8142												
8143												
8144												
8145												
8146												
8147												
8148												
8149												
8150												
8151												
8152												
8153												
8154												
8155												
8156												
8157												
8158												
8159												
8160												
8161												
8162												
8163												
8164												
8165												
8166												
8167												
8168												
8169												
8170												
8171												
81999.1	Subtotal - Section 1	xxx	xx	xxx	xxxxxxxx	0	0	0	0	xxxxxxxx	xxxxxx	0

[Return to Schedule-F \(Page - 8\)](#)

Column 8, Line 81999 - Forward amount to Page 3, Line 7.1

9	10	12	13
Number of Agreements	Annual Amount Payable	Table and Rate of Interest Used	Current Reserve

Section 2 - Annuity Agreements outstanding at the end of the year												
8236	xxxxxxx	xxx	xxx	xxxxx	xxxxxxxx	xxxxxxx	xxxxxxx			xxxxxxx		
8237	xxxxxxx	xxx	xxx	xxxxx	xxxxxxxx	xxxxxxx	xxxxxxx			xxxxxxx		
8238	xxxxxxx	xxx	xxx	xxxxx	xxxxxxxx	xxxxxxx	xxxxxxx			xxxxxxx		
8239	xxxxxxx	xxx	xxx	xxxxx	xxxxxxxx	xxxxxxx	xxxxxxx			xxxxxxx		
8240	xxxxxxx	xxx	xxx	xxxxx	xxxxxxxx	xxxxxxx	xxxxxxx			xxxxxxx		
8241	xxxxxxx	xxx	xxx	xxxxx	xxxxxxxx	xxxxxxx	xxxxxxx			xxxxxxx		
8242	xxxxxxx	xxx	xxx	xxxxx	xxxxxxxx	xxxxxxx	xxxxxxx			xxxxxxx		
8243	xxxxxxx	xxx	xxx	xxxxx	xxxxxxxx	xxxxxxx	xxxxxxx			xxxxxxx		
8244	xxxxxxx	xxx	xxx	xxxxx	xxxxxxxx	xxxxxxx	xxxxxxx			xxxxxxx		
8245	xxxxxxx	xxx	xxx	xxxxx	xxxxxxxx	xxxxxxx	xxxxxxx			xxxxxxx		
8246	xxxxxxx	xxx	xxx	xxxxx	xxxxxxxx	xxxxxxx	xxxxxxx			xxxxxxx		
8247	xxxxxxx	xxx	xxx	xxxxx	xxxxxxxx	xxxxxxx	xxxxxxx			xxxxxxx		
8248	xxxxxxx	xxx	xxx	xxxxx	xxxxxxxx	xxxxxxx	xxxxxxx			xxxxxxx		
8249	xxxxxxx	xxx	xxx	xxxxx	xxxxxxxx	xxxxxxx	xxxxxxx			xxxxxxx		
8250	xxxxxxx	xxx	xxx	xxxxx	xxxxxxxx	xxxxxxx	xxxxxxx			xxxxxxx		
8251	xxxxxxx	xxx	xxx	xxxxx	xxxxxxxx	xxxxxxx	xxxxxxx			xxxxxxx		
8252	xxxxxxx	xxx	xxx	xxxxx	xxxxxxxx	xxxxxxx	xxxxxxx			xxxxxxx		
8253	xxxxxxx	xxx	xxx	xxxxx	xxxxxxxx	xxxxxxx	xxxxxxx			xxxxxxx		
8254	xxxxxxx	xxx	xxx	xxxxx	xxxxxxxx	xxxxxxx	xxxxxxx			xxxxxxx		
8255	xxxxxxx	xxx	xxx	xxxxx	xxxxxxxx	xxxxxxx	xxxxxxx			xxxxxxx		
8256	xxxxxxx	xxx	xxx	xxxxx	xxxxxxxx	xxxxxxx	xxxxxxx			xxxxxxx		
8257	xxxxxxx	xxx	xxx	xxxxx	xxxxxxxx	xxxxxxx	xxxxxxx			xxxxxxx		
8258	xxxxxxx	xxx	xxx	xxxxx	xxxxxxxx	xxxxxxx	xxxxxxx			xxxxxxx		
8259	xxxxxxx	xxx	xxx	xxxxx	xxxxxxxx	xxxxxxx	xxxxxxx			xxxxxxx		
8260	xxxxxxx	xxx	xxx	xxxxx	xxxxxxxx	xxxxxxx	xxxxxxx			xxxxxxx		
8261	xxxxxxx	xxx	xxx	xxxxx	xxxxxxxx	xxxxxxx	xxxxxxx			xxxxxxx		
8262	xxxxxxx	xxx	xxx	xxxxx	xxxxxxxx	xxxxxxx	xxxxxxx			xxxxxxx		
8263	xxxxxxx	xxx	xxx	xxxxx	xxxxxxxx	xxxxxxx	xxxxxxx			xxxxxxx		
8264	xxxxxxx	xxx	xxx	xxxxx	xxxxxxxx	xxxxxxx	xxxxxxx			xxxxxxx		
8265	xxxxxxx	xxx	xxx	xxxxx	xxxxxxxx	xxxxxxx	xxxxxxx			xxxxxxx		
82999.1	Subtotal - Section 2	xxx	xxx	xxxxx	xxxxxxxx	xxxxxxx	xxxxxxx	0	0	xxxxxxx	xxxxxx	0

[Return to Schedule-F \(Page - 8\)](#)

Column 13, Line 82999 - Forward amount to Page 2, Line 10

If space provided is not sufficient, attach additional pages and label the schedule name accordingly.

Schedule G Cont.

Showing all BONDS, STOCKS and Other Assets ACQUIRED during the current year

1	2	3	4	5	6	7	8
Line #	CUSIP #	Description	Number of Shares of stocks	Actual Cost	Par Value	Accrued Interest and Dividends Paid	Date of Acquired
Bonds							
9121							
9122							
9123							
9124							
9125							
9126							
9127							
9128							
9129							
9130							
9131							
9132							
9133							
9134							
9135							
9136							
9137							
9138							
9139							
9140							
9141							
9142							
9143							
9144							
9145							
9146							
9147							
9148							
9149							
9150							
9151							
9152							
91999.1	xxxxxxx	Subtotal - Bonds - Cont. Page	xxxxxxx	0	0	0	xxxxxxx

[Return to Schedule G \(Page - 9\)](#)

Common Stocks							
9219							
9220							
9221							
9222							
9223							
9224							
9225							
9226							
9227							
9228							
9229							
9230							
9231							
9232							
9233							
9234							
9235							
9236							
9237							
9238							
9239							
9240							
92999.1	xxxxxxx	Subtotal - Common Stocks - Cont. Page	xxxxxxx	0	0	0	xxxxxxx

[Return to Schedule G \(Page - 9\)](#)

Preferred Stocks							
9316							
9317							
9318							
9319							
9320							
9321							
9322							
9323							
9324							
9325							
9326							
9327							
93999.1	xxxxxxx	Subtotal - Preferred Stocks - Cont. Page	xxxxxxx	0	0	0	xxxxxxx

[Return to Schedule G \(Page - 9\)](#)

Other Assets							
9406							
9407							
9408							
9409							
9410							
9411							
9412							
9413							
9414							
94999.1	xxxxxxx	Subtotal - Other Assets - Cont. Page	xxxxxxx	0	0	0	xxxxxxx

[Return to Schedule G \(Page - 9\)](#)

If no entries are to be made, write “None” or “Not Applicable” across the Schedule. If space provided is not sufficient, attach additional pages and label the schedule name accordingly.  
Column 5. Cost of acquiring the securities, including broker's commission and other related fees. Exclude paid interest in Column 7.  
Column 7. Accrued interest and dividends paid on shares acquired between dividend declaration date and the ex-dividend date.

Schedule G Cont. Page 2

Showing all BONDS, STOCKS and Other Assets **ACQUIRED** during the current year

1	2	3	4	5	6	7	8
Line #	CUSIP #	Description	Number of Shares of stocks	Actual Cost	Par Value	Accrued Interest and Dividends Paid	Date of Acquired
Bonds							
9153							
9154							
9155							
9156							
9157							
9158							
9159							
9160							
9161							
9162							
9163							
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9182							
9183							
9184							
9185							
9186							
9187							
9188							
9189							
91999.2	xxxxxxx	Subtotal - Bonds - Cont. Page - 2	xxxxxxx	0	0	0	xxxxxxx

[Return to Schedule G \(Page - 9\)](#)

Common Stocks							
9241							
9242							
9243							
9244							
9245							
9246							
9247							
9248							
9249							
9250							
9251							
9252							
9253							
9254							
9255							
9256							
9257							
9258							
9259							
9260							
9261							
9262							
9263							
9264							
9265							
9266							
9267							
92999.2	xxxxxxx	Subtotal - Common Stocks - Cont. Page - 2	xxxxxxx	0	0	0	xxxxxxx

[Return to Schedule G \(Page - 9\)](#)

Preferred Stocks							
9328							
9329							
9330							
9331							
9332							
9333							
9334							
9335							
9336							
9337							
9338							
9339							
9340							
9341							
9342							
9343							
9344							
93999.2	xxxxxxx	Subtotal - Preferred Stocks - Cont. Page - 2	xxxxxxx	0	0	0	xxxxxxx

[Return to Schedule G \(Page - 9\)](#)

Other Assets							
9415							
9416							
9417							
9418							
9419							
9420							
9421							
9422							
9423							
9424							
9425							
9426							
9427							
94999.2	xxxxxxx	Subtotal - Other Assets - Cont. Page - 2	xxxxxxx	0	0	0	xxxxxxx

[Return to Schedule G \(Page - 9\)](#)

If no entries are to be made, write "None" or "Not Applicable" across the Schedule. If space provided is not sufficient, attach additional pages and label the schedule name accordingly.  
Column 5. Cost of acquiring the securities, including broker's commission and other related fees. Exclude paid interest in Column 7.  
Column 7. Accrued interest and dividends paid on shares acquired between dividend declaration date and the ex-dividend date.

Schedule H Cont.									
Showing all BONDS, STOCKS and Other AssetsDISPOSED during the current year									
1	2	3	Number of Shares of stocks	5	6	7	8	9	
Line #	CUSIP #	Description		Cost	Statement Value (Market Value)	Considerations Received	Realized Gains / (Losses)	Interest received during the year	Date of Disposal
Bonds									
10122									
10123									
10124									
10125									
10126									
10127									
10128									
10129									
10130									
10131									
10132									
10133									
10134									
10135									
10136									
10137									
10138									
10139									
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10141									
10142									
10143									
10144									
10145									
10146									
10147									
10148									
10149									
10150									
10151									
10152									
10153									
10154									
10155									
10156									
10157									
10158									
10159									
10160									
10161									
101999.1	xxxxxx	Subtotal - Bonds - Cont. Page	xxxxxx	0	0	0	0	0	xxxxxx
<a href="#">Return to Schedule - H (Page - 10)</a>									
Common Stocks									
10222									
10223									
10224									
10225									
10226									
10227									
10228									
10229									
10230									
10231									
10232									
10233									
10234									
10235									
10236									
10237									
10238									
10239									
10240									
10241									
10242									
10243									
10244									
10245									
10246									
10247									
10248									
10249									
10250									
10251									
102999.1	xxxxxx	Subtotal - Common Stocks - Cont. Page	xxxxxx	0	0	0	0	0	xxxxxx
<a href="#">Return to Schedule - H (Page - 10)</a>									
Preferred Stocks									
10316									
10317									
10318									
10319									
10320									
10321									
10322									
10323									
10324									
10325									
10326									
10327									
10328									
10329									
10330									
10331									
10332									
10333									
10334									
10335									
10336									
10337									
10338									
10339									
10340									
10341									
10342									
10343									
10344									
10345									
10346									
10347									
10348									
10349									
10350									
103999.1	xxxxxx	Subtotal - Preferred Stocks - Cont. Page	xxxxxxx	0	0	0	0	0	xxxxxx
<a href="#">Return to Schedule - H (Page - 10)</a>									
Other Assets									
10411									
10412									
10413									
10414									
10415									
10416									
10417									
10418									
10419									
10420									
10421									
10422									
10423									
10424									
10425									
10426									
10427									
10428									
104999.1	xxxxxx	Subtotal - Other Assets - Cont. Page	xxxxxxx	0	0	0	0	0	xxxxxx
<a href="#">Return to Schedule - H (Page - 10)</a>									

If no entries are to be made, write "None" or "Not Applicable" across the Schedule. If space provided is not sufficient, attach additional pages and label the schedule name accordingly.

Column 6 should be the statement value of the securities at the disposal date.

Column 7 - Total amount received for the disposed or matured securities, including brokers' commissions and related fees.

Column 8 should be the difference between Columns 7 and 5. Total for all 4 Sections should tie to Page 3, Line 4.1.

Column 9 should be all interest and dividends received during the year on disposed or matured securities.

Schedule H Cont. 2

Showing all BONDS, STOCKS and Other Assets **DISPOSED** during the current year

1	2	3	Number of	5	6	7	8	9	
Line #	CUSIP #	Description	Shares of stocks	Cost	Statement Value (Market Value)	Considerations Received	Realized Gains / (Losses)	Interest received during the year	Date of Disposal
Bonds									
10162									
10163									
10164									
10165									
10166									
10167									
10168									
10169									
10170									
10171									
10172									
10173									
10174									
10175									
10176									
10177									
10178									
101999.2	xxxxxx	Subtotal - Bonds - Cont. Page - 2	xxxxxx	0	0	0	0	0	xxxxxx

[Return to Schedule - H \(Page - 10\)](#)

Common Stocks									
10252									
10253									
10254									
10255									
10256									
10257									
10258									
10259									
10260									
10261									
10262									
10263									
10264									
10265									
10266									
10267									
10268									
10269									
10270									
10271									
10272									
102999.2	xxxxxx	Subtotal - Common Stocks - Cont. Page - 2	xxxxxx	0	0	0	0	0	xxxxxx

[Return to Schedule - H \(Page - 10\)](#)

Preferred Stocks									
10351									
10352									
10353									
10354									
10355									
10356									
10357									
10358									
10359									
10360									
10361									
10362									
10363									
10364									
10365									
10366									
10367									
103999.2	xxxxxx	Subtotal - Preferred Stocks - Cont. Page - 2	xxxxxx	0	0	0	0	0	xxxxxx

[Return to Schedule - H \(Page - 10\)](#)

Other Assets									
10429									
10430									
10431									
10432									
10433									
10434									
104999.2	xxxxxx	Subtotal - Other Assets - Cont. Page - 2	xxxxxx	0	0	0	0	0	xxxxxx

[Return to Schedule - H \(Page - 10\)](#)

If no entries are to be made, write “None” or “Not Applicable” across the Schedule. If space provided is not sufficient, attach additional pages and label the schedule name accordingly.

Column 6 should be the statement value of the securities at the disposal date.

Column 7 - Total amount received for the disposed or matured securities, including brokers' commissions and related fees.

Column 8 should be the difference between Columns 7 and 5. Total for all 4 Sections should tie to Page 3, Line 4.1.

Column 9 should be all interest and dividends received during the year on disposed or matured securities.

**(California Insurance Code Section 11521.1(b))**

## **Results Upon Termination of Annuity Agreements**

11.11.11

[illegible]

[Return to Schedule J \(Page - 12\)](#)

[illegible]



**RICARDO LARA**

CALIFORNIA INSURANCE COMMISSIONER

Form – FAD-600 - Rev. 4/24

**Grants and Annuities Societies**  
**Filing Information for Gift Annuity Agreements**  
**(California Insurance Code Section (CICS) 11522)**

**Filing date:**

Pursuant to [CICS 11522](#), all **new** gift annuity agreements are required to file **quarterly**, no later than **30** days after the end of each calendar quarter.

**Filing fees:**

Filing fees are based on the number of agreements for which information is filed within any calendar quarter pursuant to [Section 2202\(a\)\(13\) of Title 10 of the California Code of Regulations](#):

- a. for **1** to **10** agreements: the basic fee of **\$60.00** for **each** agreement;
- b. for **11** to **20** agreements: **50** percent of the basic fee for **each** agreement;
- c. for **21** to **30** agreements: **20** percent of the basic fee for **each** agreement;
- d. for **31** to **40** agreements: **10** percent of the basic fee for **each** agreement; and
- e. for **41** or **more** agreements: **5** percent of the basic fee for **each** agreement.

**Note:** Discount does not apply to organization with a pending Certificate of Authority application.

**Submission:**

1. **Must submit the signed** - [Certification Form](#), [Transmittal Form](#) and [filing fee](#) to the Financial Analysis Division Office:

**Note:** An e-mail address must be included in the **Transmittal Form** in order to receive an acknowledgement of filing from the Department.

California Department of Insurance  
300 South Spring Street, South Tower, 13<sup>th</sup> Floor  
Los Angeles, CA 90013  
Attn: Financial Analysis Division Office (Grants & Annuities)

2. In addition, the following forms must be submitted via the [Online Assistance System for Insurer Submittal \(OASIS\)](#).
  - a. [The Agreements Summary Page\(s\) \(Excel and PDF\)](#) - If the value of the property transferred is other than cash, attach a separate sheet to show detailed support for the value of such property including the name, description and method of valuation of each item of such property.

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300 Capitol Mall, 17th Floor  
Sacramento, California 95814  
Tel: (916) 492-3500 • Fax: (916) 445-5280



b. [The Transmittal Form \(Excel and PDF\)](#)

c. For the 4<sup>th</sup> quarter filing, [the Certification Form \(Excel and PDF\)](#) must also be submitted pursuant to [CICS 11523 \(b\)](#). This form must be **filed annually** at the year-end covering all agreements issued during that year.

**Note:** Must combine forms in items 2.a, b and/or c above into one (1) PDF file when submitting via the OASIS.

3. [Due Dates:](#)

<b>Calendar Quarter</b>	<b>OASIS Quarter</b>	<b>Due Date</b>
1st Quarter (Jan. - Mar.)	1	April 30th
2nd Quarter (Apr. - Jun.)	2	July 31st
3rd Quarter (Jul. - Sep.)	3	October 31st
4th Quarter (Oct. - Dec.)	0	January 31st

### **Rates:**

**Societies must use the rates as adopted and filed with the Department.** Unless otherwise notified, the rates as approved by the American Council on Gift Annuities (ACGA) will be applied. If the rates are different from the approved ACGA rates, submit the rates to:

California Department of Insurance  
300 South Spring Street, South Tower, 13<sup>th</sup> Floor  
Los Angeles, CA 90013  
Attn: Financial Analysis Division Office (Grants & Annuities)  
E-mail: [Financial.Records@insurance.ca.gov](mailto:Financial.Records@insurance.ca.gov)

Pursuant to [\(CICS 790.03\(f\)\)](#), it is unacceptable when the rate used is other than the adopted rate applicable at the time of signing of the agreement. It is advisable to inform the clients of the adopted rate at the time of signing the agreements.

If the amount of annuity to be paid is less than the amount calculated based on the current adopted annuity rate table as filed with this Department, such an agreement must have a rider or waiver statement indicating the donor has been informed of the higher available rate, but accepted the lower rate of periodic annuity payments. In such cases, must submit a copy of the signed agreement and waiver along with the other items required to be filed. **Annuity amounts paid cannot exceed the amount calculated based on the currently adopted annuity rate table as filed with this Department.**



**RICARDO LARA**  
CALIFORNIA INSURANCE COMMISSIONER

## TRANSMITTAL FORM

Form – FAD-600 - Rev. 4/24

**For Filing Information Regarding Annuity Agreements Pursuant  
To California Insurance Code Section ("CICS") [11522](#)**

**Please fill in all the blank cells**

**Name of the Organization:**

**CA Number:**

**Filing Year:**

**Quarter:**

Pursuant to CICS 11522 and Section 2202(a)(13) of Title 10 of the California Code of Regulations, enclosed,  
the amount of \$ 0 is payable to the California Department of Insurance. The total number of  
agreements for which information has been filed with this transmittal form is 0.

**Contact Information** – regarding the Agreements Summary Page(s)

Contact Name (please print):

Contact Phone Number:

Contact E-mail Address:

Contact Address:

Date

\*Signature

Title of Officer

\* To the best of knowledge, the information provided on the transmittal form and agreements summary page(s) made part of this filing are accurate and complete.



**RICARDO LARA**

CALIFORNIA INSURANCE COMMISSIONER

Form – FAD-600 - Rev. 4/24

**CERTIFICATION FORM**

**For Filing Information Regarding Annuity Agreements Pursuant  
To California Insurance Code Section [\("CICS"\) 11523 \(b\)](#)**

**This form must be filed annually at the year-end covering all agreements issued during that year.**

**Name of the Organization:**

**CA Number:**

**Filing Year:**

**0**

**Quarter:**

I, \_\_\_\_\_ being duly sworn, do depose and say that I in my capacity as  
\_\_\_\_\_ of said reporting entity have full authority to make this certification  
on behalf of said entity thus binding the entity, its officers, directors and employees as to the accuracy of said  
certification. I do hereby certify that all agreements issued during calendar year \_\_\_\_\_ are in all respects in  
compliance with [CICS 11523\(a\)](#).

\_\_\_\_\_  
Full Name and Title of Person Certifying Compliance (Print Legibly)

\_\_\_\_\_  
Signature of Person Certifying Compliance

\_\_\_\_\_  
Date

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document, to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of \_\_\_\_\_

County of \_\_\_\_\_

Subscribed and sworn to (or affirmed) before me on this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, by  
\_\_\_\_\_, proved to me on the basis of satisfactory evidence to be the person(s) who  
appeared before me.

\_\_\_\_\_  
Signature of Notary Public

(Seal)

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Sacramento, California 95814  
Tel: (916) 492-3500 • Fax: (916) 445-5280

CA Number:Filing Year:Filing Quarter:

**Please Note:** For contracts where the agreement date is more than one interval before the first payment date, the spreadsheet will calculate a deferred rate based on ACGA guidelines. If the value in column 19 indicates that a contract is deferred (even if only by a few days), enter all information for all agreements in the salmon colored boxes and then press the "Calculate Deferred Contract Rate" button below to verify the rate used in column 19.

GA-Agreements-transmittal-certification-4-19-2024.xlsm